

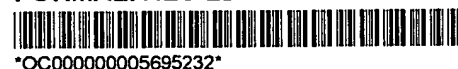
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/726,346	12/01/2000	Richard G. Sheehan	

CONFIRMATION NO. 1857

## FORMALITIES LETTER



Supervisor, Patent Prosecution Services  
PIPER MARBURY RUDNICK & WOLFE LLP  
1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412

Date Mailed: 01/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/22/2001 SDIRETA1 00000108 09726346

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:203	162.00 OP
03 FC:202	40.00 OP
04 FC:205	65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$202.
  - \$162 for 18 total claims over 20.
  - \$40 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 622.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each

sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

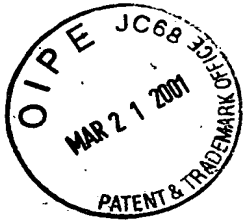
*Ahmad*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

*Satorp*



**PIPER MARBURY  
RUDNICK & WOLFE <sup>LLP</sup>**  
1200 NINETEENTH STREET, N.W.  
WASHINGTON, D.C. 20036-2412  
TELEPHONE: 202-861-3900  
FACSIMILE: 202-223-2085

DOCKET NO.: 9518-001-99

**ATTENTION: APPLICATION BRANCH**

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventors: Richard G. SHEEHAN, et al  
Serial No: 09/726,346  
Filed: December 1, 2000  
For: SYSTEM FOR DETERMINING A USEFUL LIFE OF CORE DEPOSITS AND  
INTEREST RATE SENSITIVITY THEREOF

SIR:

Attached hereto for filing are the following papers:

Return Copy of Notice to File Missing Parts  
Fee Transmittal  
Filing of Declaration, Filing Fee and Formal Drawings  
Declaration (executed 3 pages)  
Formal Drawings (5 sheets)

Our check in the amount of \$ 622.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R.1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R.1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

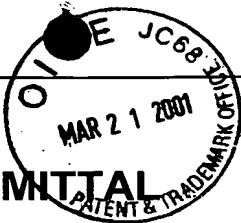
Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

Steven B. Kelber  
Registration No. 30,073  
Attorney of Record

Wilburn L. Chesser  
Registration No. 41,668

# FEE TRANSMITTAL



Docket No.	9518-001-99
Serial No.	09/726,346
Filing Date	December 1, 2000
Inventor(s)	Richard G. SHEEHAN, et al.
Group Art Unit	2161
Examiner	

TOTAL AMOUNT OF PAYMENT \$622.00

1. ☒ Applicant claims small entity status.

- ☐ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

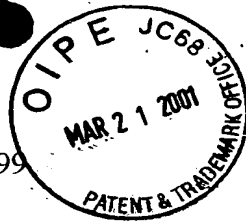
### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	\$65.00
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	Ex parte reexam. fee	
115	110	215	55	1-mo. ext. of time	
116	390	216	195	2-mo. ext. of time	
117	890	217	445	3-mo. ext. of time	
118	1390	218	695	4-mo. ext. of time	
128	1890	228	945	5-mo. ext. of time	
119	310	219	155	Notice of Appeal	
120	310	220	155	Appeal Brief	
121	270	221	135	Request for Oral Hearing	
142	1240	242	620	Utility/Reissue Issue Fee	
143	440	243	220	Design Issue Fee	
144	600	244	300	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
126	180	126	180	IDS Submission	
581	40	581	40	Assignment	
179	710	279	355	For Filing RCE	
169	900	169	900	Expedited Design	
OTHER (indicate below):					
SUBTOTAL (3)					\$65.00

\* or number previously paid, if greater; For Reissues, see above

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	3/21/01
Name	Wilburn L. Chesser	Registration No.	41,668
Telephone	202-861-3900		

DOCKET NO. 9518-001-99



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Richard G. SHEEHAN, et al.      ART UNIT: 2161  
SERIAL NO.: 09/726,346      EXAMINER: UNASSIGNED  
FILING DATE: December 1, 2000  
FOR:      SYSTEM FOR DETERMINING A USEFUL LIFE OF CORE DEPOSITS  
            AND INTEREST RATE SENSITIVITY THEREOF

**FILING OF DECLARATION, FILING FEE AND FORMAL DRAWINGS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated JANUARY 22, 2001, Applicants submit herewith a Rule 63 Declaration and the Basic Filing and Extra Total and Independent Claim Fees totaling \$557.00, along with the required Surcharge of \$65.00. Also submitted are formal (substitute) drawings for Figures 1-5 to replace the drawings originally filed with the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

A handwritten signature in black ink, appearing to read "SK", written over a horizontal line.

Steven B. Kelber  
Registration No. 30,073  
Attorney of Record

Wilburn L. Chesser  
Registration No. 41,668

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